



## SYLLABUS

Course Title: **Capital Market**

**Bachelor of Business and Administration**

Summer semester 2018/2019

Lecturer: **Prof. nadzw. UWr dr hab. Patrycja Zawadzka**

### COURSE ORGANISATION – LEARNING FORMAT AND NUMBER OF HOURS

	TOPIC	DATE
1.	Introduction and Course Overview. The Investment Environment Functions of the Financial Markets. Basic EU's Regulations. Regulatory Framework relating to Securities Market Intermediaries. Primary Market and Secondary Market . Intermediaries: Role and Functions. (2H)	March 19th, 2019
2.	Financial Instruments. Capital Market Instruments and Rating. (2H)	April 2nd, 2019
3.	Securities Market Intermediaries. Primary Market and Secondary Market Intermediaries: Role and Functions, Merchant Bankers, Stock Brokers, Syndicate Members, Registrars, Underwriters, Bankers to an Issue, Portfolio Managers, Debenture Trustees, Foreign Institutional Investors, Depositories, Depositories Participants, Custodians, Credit Rating Agencies, Venture Capitalists. (2H)	April 9th, 2019
4.	The Primary and The Secondary Market regulations. Issue and Listing of Securities. Markets in Financial Instruments Regulation & Markets in Financial Instruments Directives. (2H)	April 16th, 2019
5.	Mutual Funds: Introduction, Definitions, Schemes, Risks Involved, Setting Up of Mutual Funds, Role in Financial Market, EU's Regulations. Collective Investment Schemes. Concept of Venture Capital. (2H)	April 30th, 2019

6.	Sovereign Wealth Funds. (2H)	April 30th, 2019
PRIMARY OR REQUIRED BOOKS/READINGS:		
<ul style="list-style-type: none"> <li>- <b><i>Financial Markets and Institutions</i></b>, Frederic S. Mishkin, Stanley G. Eakins, Global Edition, 9th ed., Pearson Education 2018</li> <li>- <b><i>Fundamentals of Investing</i></b>, S.B. Smart, L. J. Gitman, M.D. Joehnk, 13<sup>th</sup> ed., global ed., Pearson Education 2017</li> <li>- <b><i>Foundations of Financial Markets and Institutions</i></b>, F. Fabozzi, Modigliani, Jones, 4<sup>th</sup> ed., Pearson Education 2014</li> </ul>		
SUPPLEMENTAL OR OPTIONAL BOOKS/READINGS:		
<ul style="list-style-type: none"> <li>- <b><i>Financial Times Guide to Making the Right Investment Decisions, How to Analyse Companies and Value Shares</i></b>, Michael Cahill, 2nd ed., Pearson Education 2010</li> <li>- <b><i>Economics of Money, Banking and Financial Markets</i></b>, Frederic S. Mishkin, Pearson Education 2009</li> <li>- <b><i>Options, Futures, and Other Derivatives</i></b>, John Hull, United States Edition 7th ed., Pearson Education 2008</li> <li>- <b><i>The Definitive Companion to Investment and the Financial Markets</i></b>, G. Arnold, 2nd ed., Pearson Education 2009</li> </ul>		